



Docket No. 99-091

DAC\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Van Luchene et al.)

For: SYSTEMS AND METHODS)
FOR FACILITATING A)
TRANSACTION BY MATCHING)
SELLER INFORMATION AND)
BUYER INFORMATION)

Customer No: 22927

Examiner: Not Yet Assigned

Group Art Unit: 2768

Serial No.: 09/586,742)

Docket No.: 99-091

Filing Date: June 5, 2000)

RECEIVED

SEP 26 2000

Assistant Commissioner for Patents
Att: **Office of Initial Patent Examination**
Customer Service Center
Washington, DC 20231

OFFICE OF PETITIONS

TRANSMITTAL LETTER

Sir:

Applicants hereby submit the following documents for the above-identified patent application:

1. Petition Requesting Filing Date (in duplicate);
2. Attachments A, B, C, and D;
3. Copy of Notice of Omitted Items(s) in a Nonprovisional Application; and
4. Return Receipt Postcard.

The Assistant Commissioner is hereby authorized to charge \$130.00 to Deposit Account No. 50-0271. Order No. 99-091. A duplicate copy of this sheet is attached. The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for the submission of this paper, or credit any overpayment, to Deposit Account No. 50-0271. Order No. 99-091. A duplicate copy of this sheet is attached.

September 19, 2000

Date

Respectfully submitted,

Patrick BuckleyPatrick J. Buckley
Attorney for Applicants
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Certificate of Mailing

I hereby certify that this correspondence is being sent via first class mail in an envelope with sufficient postage addressed to Assistant Commissioner for Patents, Washington, D.C. 20231, Att: Office of Initial Patent Examination Customer Service Center, on September 19, 2000.

Veronika S. Leliever
Typed Name of Person Making DepositN.S. Leliever
Signature09/19/00
Date

UNITED STATES PATENT & TRADEMARK OFFICE
Washington, D.C. 20231

REQUEST FOR PATENT FEE REFUND

1 Date of Request: <u>1-8-01</u>		2 Serial/Patent # <u>09/586,742</u>	
3 Please refund the following fee(s):		4 PAPER NUMBER	5 DATE FILED
		6 AMOUNT	
<input type="checkbox"/>	Filing		\$
<input type="checkbox"/>	Amendment		\$
<input type="checkbox"/>	Extension of Time		\$
<input type="checkbox"/>	Notice of Appeal/Appeal		\$
<input checked="" type="checkbox"/>	Petition	<u>3</u>	<u>9/21/00</u> \$ <u>130.00</u>
<input type="checkbox"/>	Issue		\$
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<input type="checkbox"/>	Maintenance		\$
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		7 TOTAL AMOUNT OF REFUND	\$ <u>130.00</u>
10 REASON:		8 TO BE REFUNDED BY:	
		Treasury Check	
<input type="checkbox"/>	Overpayment	<input checked="" type="checkbox"/>	Credit Deposit A/C #:
<input type="checkbox"/>	Duplicate Payment	<div style="border: 1px solid black; padding: 2px; display: inline-block;"> <u>50</u> -- <u>0271</u> </div>	
<input checked="" type="checkbox"/>	No Fee Due (Explanation):		
<u>PTO error</u>			
11 REFUND REQUESTED BY: <u>Sherry D. Brinkley</u>			
TYPED/PRINTED NAME:		TITLE: <u>Patent Examiner</u>	
SIGNATURE: <u>Sherry D. Brinkley</u>		PHONE: <u>305 9700</u>	
OFFICE: <u>Petitions</u>			
***** THIS SPACE RESERVED FOR FINANCE USE ONLY: *****			
APPROVED: <u>Anna Chase</u>		DATE: <u>1/12/01</u>	

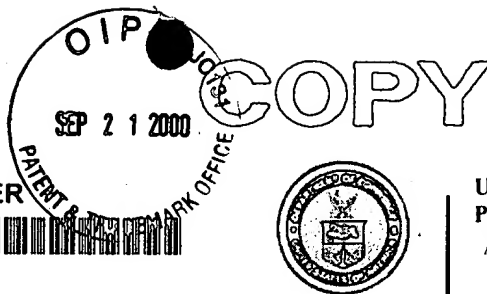
Instructions for completion of this form appear on the back. After completion, attach white and yellow copies to the official file and mail or hand-carry to:

Office of Finance
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Crystal Park One, Room 802B

FORMALITIES LETTER



OC00000005303187

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/586,742	06/05/2000	Andrew S. Van Luchene	99-091

22927
WALKER DIGITAL
FIVE HIGH RIDGE PARK
STAMFORD, CT 06905

Date Mailed: 08/07/2000

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Page(s) **Page 1** of the specification (description and claims).

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (*i.e.*, the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

*A copy of this notice **MUST** be returned with the reply.*



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

**SYSTEMS AND METHODS FOR FACILITATING A TRANSACTION
BY MATCHING SELLER INFORMATION AND BUYER INFORMATION**



CROSS REFERENCE TO RELATED APPLICATIONS

The present application claims the benefit of U.S. Provisional Patent Application Serial No. 60/176,158 filed January 14, 2000. The entire content of this application is
5 incorporated herein by reference.

The present application is related to U.S. Patent Application Serial No. 08/964,967 entitled "Conditional Purchase Offer (CPO) Management System for Collectibles" and filed November 5, 1997, which is a continuation-in-part of U.S. Patent Application Serial No. 08/889,319 entitled "Conditional Purchase Offer Management System" and filed July
10 8, 1998, which is a continuation-in-part of U.S. Patent Application Serial No. 08/707,660 entitled "Method and Apparatus for a Cryptographically Assisted Commercial Network System Designed to Facilitate Buyer-Driven Conditional Purchase Offers," filed on September 4, 1996 and issued as U.S. Patent No. 5,794,207 on August 11, 1998; U.S. Patent Application Serial No. 09/282,747 entitled "Method and Apparatus for Providing
15 Cross-Benefits Based on a Customer Activity" and filed March 31, 1999; U.S. Patent Application Serial No. 09/274,281 entitled "Method and Apparatus for Providing Cross-Benefits via a Central Authority" and filed March 22, 1999; and U.S. Patent Application Serial No. 09/322,351 entitled "Method and Apparatus for Providing Cross Benefits and Penalties" and filed May 28, 1999; U.S. Patent Application Serial No. 09/100,684 entitled
20 "Billing Statement Customer Acquisition System" and filed May 19, 1999, which is a continuation-in-part of U.S. Patent Application Serial No. 08/982,149 entitled "Method and Apparatus for Printing a Billing Statement to Provide Supplementary Product Sales" and filed on December 1, 1997. The entire contents of these applications are incorporated herein by reference.